

Breach of Code Allegation form

Required *

1. Nature of the allegation/complaint*

If your allegation/complaint relates to a research authorship issue, please follow *the Research Authorship and Publications [Policy](#) and [Procedures](#)*.

Please check all relevant box(es)

a. Not meeting required research standards

(e.g. conducting research without ethics approval as required by the National Statement on Ethical Conduct in Human Research and the Australian Code for the Care and Use of Animals for Scientific Purposes; failing to conduct research as approved by an appropriate ethics review body; conducting research without the requisite approvals, permits or licences; misuse of research funds; or concealment or facilitation of breaches (or potential breaches) of the Code by others).

b. Fabrication, falsification, misrepresentation

(e.g. fabrication of research data or source material; falsification of research data or source material; misrepresentation of research data or source material; or, falsification and/or misrepresentation to obtain funding).

c. Plagiarism

(e.g. plagiarism of someone else's work, including theories, concepts, research data and source material; or, duplicate publication (also known as redundant or multiple publication, or self-plagiarism) without acknowledgment of the source).

d. Research data management

(e.g. failure to appropriately maintain research records; inappropriate destruction of research records, research data and/or source material; or, inappropriate disclosure of, or access to, research records, research data and/or source material).

e. Supervision

(e.g. failure to provide adequate guidance or mentorship on responsible research conduct to researchers or research trainees under their supervision).

f. Conflicts of interest

(e.g. failure to disclose and manage conflicts of interest).

g. Peer review

(e.g. failure to conduct peer review responsibly).

h. Other

2. Please describe the issue*

Your response should provide sufficient detail for us to investigate your allegation or complaint, and as much detail as possible, including dates, times, any other staff or person(s) who you consider may be able to assist with information or evidence, and if your allegation/complaint relates to a specific person or group of people, please provide their full names.

3. Evidence or documentation*

Please advise how many documents you are attaching.

4. Your relationship with Southern Cross University*

Please check relevant box(es)

- a. Staff

- b. Student

- c. Research collaborator

- d. Research participant

- e. Other
(e.g. member of the community, third party)

5. What do you expect?*

Briefly outline the outcome you are seeking.

6. Do you wish to submit your allegation/complaint anonymously? *

All allegations/complaints will be treated as confidential, and only those with a legitimate need for the information (as outlined in the [Responsible Research Conduct Policy](#) and Procedures – [Staff](#) and [HDRs](#)). Anonymous allegations/complaints are accepted and will be considered based on the information provided. However, our ability to investigate may be constrained if we are not able to contact you for additional information.

No

Yes

If you are submitting an anonymous complaint/allegation, please forward this to Associate DVCR, Southern Cross University, Locked Mail Bag 4, Coolangatta QLD 4225

7. Your details*

Name:

Address:

Phone:

Email:

8. Signature

Signature:

Date:

Please email this form and any supporting documents to advcr@scu.edu.au.

Privacy statement

The information on this form is collected for the purposes of responding to your allegation/complaint, and will be used to investigate the issue. The information provided, including your details, will be treated confidentially and will not be disclosed to a third party without your consent unless disclosure is required or authorised by law, and consistent with the University's [Privacy Policy](#).