



### Purpose and Scope

#### Purpose

This document reflects the University's comprehensive approach to upholding student academic integrity and provides guidance on how to:

- 1. detect academic integrity breaches;
- 2. investigate suspected academic integrity breaches;
- 3. classify academic integrity breaches; and
- 4. determine appropriate penalties and educative interventions in response to academic integrity breaches.

#### Scope

These Guidelines apply to all University students and should be read in conjunction with the <u>Rules - Student Academic and Non-Academic Misconduct Rules</u> (the Rules), <u>Academic Quality, Standards and Integrity Policy</u>, and the <u>Academic Integrity Procedures</u>.

#### Definitions

The <u>Definitions (Academic) Policy</u> defines a breach of Academic Integrity as behaviour that contravenes the values of academic integrity, and classifies breaches of academic integrity as either:

- a. Minor breach a breach that is determined to be an unintentional and can be reasonably considered as part of the normal learning process;
- Moderate breach a breach that is determined to be unintentional but unacceptably negligent with regard to opportunity to learn, and appropriately apply, academic integrity principles;
- c. Major breach a breach that is determined to be intentional or deliberately negligent including (but not limited to) contract cheating.

Please see Step 1 of the process on page 2 of this Guideline for further specific advice on breach classification.

#### **Responsibilities for Managing Academic Integrity Breaches**

As set out in the Academic Quality, Standards and Integrity Policy:

- Minor breaches of academic integrity are managed by unit teaching staff using an educative approach.
- Moderate and Major breaches of academic integrity are considered academic misconduct and are managed by the Academic Integrity Officer (for coursework students) or Dean, Graduate Studies (for higher degree by research candidates) in accordance with the <u>Academic Integrity Procedures, the Rules</u> and these Guidelines.
- Allegations involving both academic misconduct and non-academic misconduct are managed by the Pro-Vice Chancellor (Academic Quality) in accordance with the <u>Rules -</u> <u>Student Academic and Non-Academic Misconduct Rules.</u>





### Guidelines for Application of the Rules, Policies and Procedures

#### 1. Identifying and Classifying Academic Integrity Breaches

**The first step** in the academic integrity breach process is to identify and classify potential breaches using the following information and the Academic Integrity Management System (AIMS) which will prompt you through the process. Except in the case of invigilated examinations, markers of assessment tasks have the primary responsibility to identify academic integrity breaches.

#### **The Breach Classification System**

The Academic Integrity Procedures (at clauses 21 and 25) provides clarity around breach classification and this is explained and expanded on below to assist you determine the correct breach classification. The AIMS now classifies the breach recommendation for you, and will correct the breach classification if you enter it incorrectly. It is however important to understand this breach classification system:

- a. Minor breach a Minor breach can only be determined if the student has:
  - i. completed no more than two study periods at the University; and
  - ii. has not more than 1 previous Minor confirmed breach, or a single Major or Moderate confirmed breach, or a pre-AIMS breach; and
  - iii. they have not knowingly breached academic integrity. E.g. cheated in an exam or other assessment task, engaged in contract cheating or collusion, falsified references or data. See Table 1 below for full list of breach examples.
- b. Moderate breach a breach that is not Minor and is considered unintentional but unacceptably negligent with regard to opportunity to learn, and appropriately apply, academic integrity principles. See Table 1 'definition column' below for further examples for this definition.
- c. Major breach a breach that is not Minor or Moderate and is considered to be intentional or deliberately negligent including (but not limited to) contract cheating, collusion, cheating in an exam, falsified references or data. See Table 1 'definition column' below for further examples for this definition.

Table 1 below provides guidance on classifying different breach types as either Minor, Moderate or Major breaches.

Table 1: Breach Classification Types			
Breach classification	Definition	Examples	





MINOR	<ul> <li>Unintentional and reasonable as part of the normal learning process.</li> <li>This would include a student in their first or second session at SCU with no previous academic misconduct findings and no more than one previous minor breach.</li> </ul>	<ul> <li>The following may constitute Minor or Moderate breaches, depending on whether part of the normal learning process (Minor breach) or negligent in the context of opportunity to learn (Moderate breach):</li> <li>Unacceptable use of generative artificial intelligence (GenAI) tools. Unacceptable is where the use of GenAI has not been appropriately acknowledged or is beyond the acceptable limit as defined in the Assessment Item (refer to Table 4 for additional guidance).</li> </ul>
MODERATE	<ul> <li>The breach is unintentional but negligent (because the student has already had an opportunity to learn how to practise academic integrity).</li> <li>Normally, this would include a student who has completed at least two sessions at SCU.</li> <li>Normally, this would not include a student with multiple (e.g. three or more) repeated breaches for similar issues.</li> </ul>	<ul> <li>Poor referencing: failure to correctly reference other authors' ideas within an assessment.</li> <li>Not identifying direct quotations correctly: omitting quotation marks and/or incorrectly citing direct quotations within an assessment;</li> <li>Close paraphrasing: inclusion of two or three short phrases copied from other sources with minimal changes to the wording and/or without appropriate citation (including patchwork plagiarism);</li> <li>Plagiarism: direct copying including close paraphrasing or copying from other sources without correct citation. Failure to include a reference list or direct copying, without correct acknowledgement of information and ideas from other sources including but not limited to books, journal articles, web-pages, reports, theses, unpublished works, conference papers, lecture/tutorial/lab notes or on-line teaching recordings, computer code, artwork, graphics is considered plagiarism.</li> <li>Recycling: submitting work that has been prepared for one unit or course, either at Southern Cross University or another institution, by presenting it as original work for another unit or re-presenting work previously submitted for an incomplete or failed unit without specific appropriate permission.</li> </ul>
MAJOR	• The breach is intentional (i.e. cheating) or deliberately negligent (i.e. repeatedly ignoring	Any of the examples given for Minor and Moderate breaches (including unacceptable use of GenAI) may constitute Major breaches if intentional or deliberately negligent. Other examples of Major breaches include:





previous academic	Misrepresentation: deliberate failure to disclose
integrity breach finding	correct sources of information through falsifying
and learning	references used in an assessment by altering
opportunities).	details such as the correct source, author(s), or date
opportunities).	of publication; or submitting previously submitted or
	published information, data, or experimental results
	as if it were newly identified through laboratory or
	clinical work or work-integrated learning
	experiences.
	• <b>Collusion</b> : presenting the product of unauthorised collaboration as independent work, or copying, or attempting to copy, another person's work and pass it off as one's own work, or knowingly allowing work
	to be copied and passed off as the work of another
	person, e.g. if a student enables another student to review their individual assessment and components
	of it are copied and submitted by that student, both
	students may have breached academic integrity
	standards.
	Cheating: improper conduct in examinations or
	other assessment tasks including taking
	unauthorised study material and aids into a face-to-
	face invigilated or an on-line examination where a
	declaration to the contrary has been completed,
	allowing another student to sit an exam that the
	student should sit, communicating with and/or
	copying from another student during an
	examination, sitting an examination for another
	student, failing to equally contribute to a group-work
	assessment while claiming an equal contribution
	and share of the marks, or unauthorised
	photographing/ recording of academic work
	including examination questions and/or answers.
	<ul> <li>Contract cheating: when a student submits work that has been completed for them by a human third</li> </ul>
	party, irrespective of the third party's relationship
	with the student, and whether they are paid or
	unpaid (after Harper et al.2018
	doi:10.1080/03075079.2018.1462789). Examples
	include but are not limited to: submitting an
	assessment obtained from an internet source or
	another person(s) as if it were the student's own
	work; asking another person (partner or other family
	member, friend, colleague or a person previously
	unknown to them) to prepare or submit an
	assessment item as if it were the student's own
	work; colluding with another person to write a
	-
	test/exam; collaborating with another person(s) to
	purchase, sell or share parts of or complete
	assessments or study materials for the
	use of completing an assessment item.





	• Fabricating information: Submitting fictitious information, data, or experimental results through laboratory or clinical work or work-integrated learning experiences.
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### 2 Reporting Academic Integrity Breaches

**The second step** in the academic integrity breach process is to report the breach for further investigation or action in AIMS.

#### **Minor Breaches**

In the case of Minor breaches identified by the marker, the marker will proceed to mark the task as usual and release any feedback but must NOT release the marks to the student. The marker must report the breach in the AIMS.

The Unit Assessor will review the breach and if confirmed as a Minor breach, will initiate any required educative intervention via the Minor breach notice to the student from the AIMS. The Unit Assessor will at this point release the marks to the student.

#### Moderate and Major Breaches

All other breaches (Moderate and Major) must be referred to the Academic Integrity Officer or Dean of Graduate Studies, who will then investigate as an allegation of academic misconduct, and determine penalties and/or orders in a fair and equitable manner and in accordance with the Rules, <u>Academic Integrity Procedures</u> and these Guidelines.

The marker will not mark the task but must release any feedback to the student. Any penalties or educative interventions applied by the Academic Integrity Officer or Dean of Graduate Studies, will be notified to the student officially from the AIMS via a Determination Notice. The AIMS will then instruct the Unit Assessor to update the marks for the student based on the Determination Notice.

#### **Need More Help**

The Investigative Guide (checklist for signals of an AI breach) at Table 4 provides guidance regarding investigating academic integrity breaches and allegations of academic misconduct.

#### 3. Establishing the Severity of Academic Integrity Breaches

The **third step** in the academic integrity breach process is for the Academic Integrity Officer or Dean of Graduate Studies to **establish the severity level of the breach**.

Table 2 provides guidance on determining the severity of a breach (from Level 0 to Level 5) based on the learning experience and intent of the student, and the extent and impact of the breach. Note that:

- Breaches above Severity Level 0 must be referred to the Academic Integrity Officer or Dean, Graduate Studies (as applicable).
- If an Academic Integrity Officer judges that a breach may be Severity Level 4 or 5, it must be referred to the Pro-Vice Chancellor (Academic Quality) as an allegation of potential academic and non-academic misconduct.





The Academic Integrity Officer or Dean, Graduate Studies may also adjust the severity of the breach from what is set out in Table 2 as follows:

- Mitigating Factors: severity may be decreased by up to two levels in the case of significant mitigating factors or circumstances such as health, cultural factors, financial stress etc.
- Repeat Offences: severity may be increased by up to one level in the case of serious repeat offences.





Table 2: Guide to	able 2: Guide to Determining Severity of a Breach Extent of			
Breach classification	breach	Impost at brooch		
<b>Minor</b> unintentional and reasonable as part of the normal learning process	Reasonable in the	context of the normal learning process	LEVEL 0	
	Small – e.g. a few paragraphs, or graphics; a few elements of	Small – academic achievement of other students completing the assessment task or unit is impacted	LEVEL 1	
	computer source code; sharing a single exam question with a model	Medium – academic achievement of other students in the course and the degree's reputation are impacted	LEVEL 1	
	answer or a portion of an assignment; up to two previous minor breaches	Large – the University's reputation is impacted	LEVEL 2	
	Medium – e.g. a significant proportion or segment of the work;	Small – academic achievement of other students completing the assessment task or unit is impacted	LEVEL 1	
Moderate unintentional but negligent (because	multiple segments of computer source code; sharing multiple exam questions or parts of an assignment; up to three previous minor breaches	Medium – academic achievement of other students in the course and the degree's reputation are impacted	LEVEL 2	
student has already had opportunity to learn how to practice academic		Large – the University's reputation is impacted	LEVEL 3	
integrity)	Large – e.g. comprises minimal original work; significant appropriation of ideas or artistic work;	Small – academic achievement of other students completing the assessment task or unit is impacted	LEVEL 2	
	multiple pages or sections of text or graphics copied; sharing	Medium – academic achievement of other students in the course and the degree's reputation are impacted	LEVEL 3	
	one or more exam papers, exam scripts or assignments; more than three previous minor breaches	Large – the University's reputation is impacted	LEVEL 3	
Major	Small – e.g. a few paragraphs, or graphics; a few elements of	Small – academic achievement of other students completing the assessment task or unit is impacted	LEVEL 3	
intentional or deliberately negligent	computer source code; selling, procuring or hawking a single exam	Medium – academic achievement of other students in the course and the degree's reputation are impacted	LEVEL 3	





	question with a model answer or a portion of an assignment.	Large – the University's reputation is impacted	LEVEL 3
	Medium – e.g. a significant proportion or segment of the work;	Small – academic achievement of other students completing the assessment task or unit is impacted	LEVEL 3
	multiple segments of computer source code; selling, procuring or hawking multiple exam questions or parts of an assignment.	Medium – academic achievement of other students in the course and the degree's reputation are impacted	LEVEL 3
		Large – the University's reputation is impacted	LEVEL 4
	Large – e.g. comprises minimal original work; significant appropriation of ideas or artistic work; multiple pages or sections of text or graphics copied; selling,	Small – academic achievement of other students completing the assessment task or unit is impacted	LEVEL 3
		Medium – academic achievement of other students in the course and the degree's reputation are impacted	LEVEL 4
procuring or hawking one or more exam papers, exam scripts or assignments.	Large – the University's reputation is impacted	LEVEL 5	

### 4. Determining the Appropriate Penalty for Academic Integrity Breaches

The **fourth step** in the academic integrity breach process is for the decision-maker to **determine the appropriate penalty**. The Rules prescribe what penalties a decision-maker may impose and take precedence in case of any ambiguity or uncertainty.

Table 3 provides guidance on determining the appropriate penalty. The penalty scheme set out in Table 3 reflects the University's educative approach to student academic integrity, and that there are consequences of student academic integrity breaches proportionate to the student's learning experience, and their intent, and the nature and extent of the breach, as set out in the <u>Academic Quality, Standards and Integrity Policy</u>.

In addition to the penalties listed in Table 3, an Academic Integrity Officer may impose additional mandatory educational requirements.





Table 3: Guide to Penalties			
Breach classification	Severity level	Appropriate penalty for coursework students	Appropriate penalty for HDR students
<b>Minor</b> unintentional and reasonable as part of the normal learning process	LEVEL 0	No penalty may be applied. The UA will determine the appropriate educative intervention and record same in AIMS. Educative interventions might include: - view the Quick Guides about Academic Integrity - view the videos on how to use Turnitin - recommendation to book an appointment with a Learning Coach - recomplete the Academic Integrity Module - revise and resubmit the assessment item without penalty - Other (bespoke intervention based on the case)	N/A
Moderate unintentional but negligent (because student has already	LEVEL 1	Either or both of: - a penalty of up to 20% of available marks for the assessment item; - an opportunity to resubmit or an alternative task or special examination (if applicable) for a - maximum mark of 50% of the available marks for the assessment item	A caution and recommendations to revise the assessment item
had opportunity to learn how to practice academic integrity)	LEVEL 2	Either or both of: - a mark of zero for the task; - an opportunity to resubmit or an alternative task or special examination (if applicable) for a maximum of a pass mark for the unit	A caution and recommendations to revise the assessment item
	LEVEL 3	Award of a result of fail for a unit of study	Termination of candidature
	LEVEL 3	Award of a result of fail for a unit of study	Termination of candidature
Major	LEVEL 4	Either or both of: - suspension from the University for up to 12 months; - award of a result of fail for relevant units of study	Termination of candidature
intentional or deliberately negligent	LEVEL 5	Either or both of: - expulsion from the University; - a recommendation to the Chair, Academic Board that he or she should recommend Council revoke and require the surrender of an award	Termination of candidature and/or a recommendation to the Chair, Academic Board that he or she should recommend Council revoke and require the surrender of an award





### Investigative Guide to Signals of a Potential Academic Integrity Breach

This following Table 4 checklist provides a guide on the signals of a potential academic integrity breach (including contract cheating and unacceptable use of GenAI). It is based in part on the TEQSA publication <u>Substantiating contract cheating</u>: <u>A guide for investigators</u>, and modified to include signals, and the evidence required regarding various types of breaches.

Further information for Academic Integrity Officers on investigating and substantiating unacceptable use of GenAI can be found in the <u>GenAI Practice Guide: Signals of and How to</u> <u>Assess Unacceptable Use of GenAI, available at https://spark.scu.edu.au/kb/tl/teach/academic-integrity-toolkit/academic-integrity-framework</u>

Table 4: Checklist for Signals of Potential Academic Integrity Breaches				
Type of breach	Signals to look for	Are signals present (Y/N)?	What is the evidence (e.g. Turnitin Report)?	
	Poor/inadequate paraphrasing			
	Uncited paraphrasing			
	Close paraphrasing			
	Not identifying direct quotations correctly			
Plagiarism	Poor referencing			
	Direct copying with no acknowledgement of source			
	Recycling (self-plagiarism)			
	Other			
	Copying from another student			
Copying and collusion	Allowing work to be copied by another student			
	Collusion			
	In possession of notes (written, digital device etc.) during examination			
	Communicating with others (speaking or electronically) during exam			
Cheating in exams	Leaving notes outside the exam room to access during the exam			
	Looking at another person's paper during exam			
	Recording or photographing exam questions or answers			





	Exam imposter (someone other than student sat the exam)	
	Other	





	The student has <b>NOT</b> completed the assessment task that was set (e.g., the task asked for X and the student did Y)		
Unacceptable use* of GenAl	The student has <b>NOT</b> used the resources or ideas from the unit or modules (e.g., MyReadings, module topics etc.)		
(*use beyond the limits allowed for the Assessment	The student has used references that are suspected of being fake, or non-existent sources		
Task, or not appropriately acknowledged)	The in-text citations do <b>NOT</b> match the contents of the article being referenced		
	The student's writing is unexpectedly different to their other writing (e.g. previous email communications)		
	Textual signals:		
	Very low text match (0 – 5%)		
	High text match (>30%)		
	High text match (other person's work)		
Contract cheating	Document properties: • Author • Creation date • Editing time • Version number • Properties blank/wiped		
	Not appropriate to discipline area		
	Quality different to or above expectations		
	Language use and ability		
	Unreadable language, including jargon- filled sentences and misuse of words		





	<ul> <li>Reference list, but:</li> <li>No in-text citations</li> <li>Mismatch with in-text citations</li> <li>Sources inappropriate/irrelevant</li> <li>Access dates for internet sources predate enrolment</li> <li>References are falsified</li> <li>Does not meet criteria/requirements:</li> <li>Min/max required references</li> <li>Required references/authors</li> <li>Date range of references</li> <li>Referencing style</li> <li>Excludes key content</li> <li>Includes irrelevant content</li> </ul>	
	References in languages that the student does not speak	
	Reflective essay/writing bears little connection to experience under reflection	
	Technological signals	
	Learning analytics - Short login times, no logins, no access to assessment resources/information except for submission	
	IP addresses	
	Have they accessed from the library the materials that they have cited?	
	Text readability statistics differences	
	Conduct an internet search for the student	
	Evidence of a template that is not from your institution – e.g. running head, extra white space, "insert name here"	
	References are falsified	
	Altering or omitting data	
	Falsifying dates	
Data fabrication and falsification	Misrepresenting results or methods	
	Adjusting previously published data so it matches the argument for an assessment	
	Falsifying the extent of a research study	
	Using data from other researchers without acknowledgement	
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	Publishing the same results in multiple papers (self-plagiarism)	
	Inventing data	
	Presenting previously published data as newly gathered	
	Completing questionnaire for a fictitious subject that was not interviewed	
	Creating a data set for an experiment that was not conducted	
	Adding fictitious data to a real data set	

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